Confidential Invention Disclosure and  
Inventor Declaration Form

Unitectra is the official technology transfer organization of the University of Basel and supports University of Basel and the institutions contractually linked to the University of Basel (hereinafter “Associated Institutions”) with the protection and commercialization of inventions.

The Innovation Office of the University of Basel (hereinafter “IO”) is the central contact point for all matters related to innovation and entrepreneurship. It supports researchers in translating discoveries from the laboratory into applications with societal and economic impact, through education and the support to startup creation.

Therefore, inventions have to be reported to Unitectra and in copy to the IO.

Completing this form is the first step toward protecting and commercializing your invention. The form is a guide for the invention disclosure and a declaration of inventorship and is offered to provide optimal support and service to you.

This form is to be used for inventions which have arisen within the framework of the employment relationship at the University of Basel and/or the following Associated Institutions:

* University Hospital Basel\*
* University Children's Hospital of Basel (UKBB)\*
* University Psychiatric Clinics Basel (UPK)\*
* Felix Platter Hospital Basel\*
* Institute of Forensic Medicine Basel (IRM)\*
* Cantonal Hospital Baselland (KSBL)\*
* University Centre for Dental Medicine Basel (UZB)\*
* Clarunis AG\*
* Swiss Tropical and Public Health Institute (STPH)

Inventions made within the scope of the employment with the University of Basel and/or the Associated Institutions are the property of the employer according to with the applicable terms of employment of the University of Basel or the respective Associated Institution as well as within the meaning of Art. 332 para. 1 CO.

It is understood that rights and title in inventions developed by staff of the Associated Institutions marked with (\*) above in preclinical research projects are transferred to the University of Basel (based on the respective “Leistungsvereinbarung” between such Associated Institution and the University of Basel). Rights to inventions developed at STPH in preclinical research projects are jointly claimed by the University of Basel and STPH.

**It is very important that the invention is not published or presented at a scientific meeting before the invention disclosure and a potential patent application.**

**Please send the completed form with wet-ink or electronic signatures of all inventors to Unitectra.**

For any questions, please contact **Unitectra**.

**Please send the completed form to:**

**Address** Unitectra  
Technology Transfer University of Basel  
Steinengraben 22  
4051 Basel  
Tel. 061 207 30 14

**Email**: [mail@unitectra.ch](mailto:mail@unitectra.ch)

**Web:** [www.unitectra.ch](http://www.unitectra.ch)

And a digital copy to the IO at:

**Email**: [invention-io@unibas.ch](mailto:invention-io@unibas.ch)v250918 **File No.: \_\_\_\_\_\_\_\_\_\_\_\_\_\_** (to be filled in by Unitectra)

**A) Inventors** *(pls. attach any additional information or documentation)*

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| *An inventor is a person who conceived the invention (not just contributed to its reduction-to-practice). Please note that the definition of an inventor is different and narrower than how co-authorship of a scientific publication is treated. E.g., providing support or performing or setting up experiments using state of the art methods following instructions, while valuable to the research process, does not necessarily indicate an inventive contribution.*  *Failure to correctly name inventors might lead to the loss of the protection rights. List also all external inventors.* |

**1) Inventors: Please complete all details in the table below.**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| First name | Last name | Position and employer at the time the invention was conceived (University or Associated Institution like University Hospital Basel etc. plus address of Institute/Department/Clinic)\* | Private Address/E-mail /Nationality | Contribution to invention (%); leave blank if equal |
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*\*Please flag if any of the inventors contributed to the invention while not being an employee at University of Basel or an Associated Institution (e.g. visiting scientist, master student, etc.)*

**B) Invention** *(pls. attach any additional information or documentation)*

**1) Short description of the invention.**

**2) What is the current stage of the invention? What exactly have you achieved so far?**

**3) Which specific experiments have been carried out to prove the principle of the invention or how do you plan to do so (indicate milestones and estimated time frame)?**

**4) Quantify the available resources (manpower, finances) for further development of the invention.**

**C) Intellectual Property**

**1) a) When did you first publicly speak about or publish your invention or when do you plan to do so?**

**b) Has the idea behind your invention ever been published, publicly discussed, or patented by others?**

**2) a) Describe the closest state of the art in the field of your invention. Pls. provide representative literature and/or patent documents**

**b) What makes your invention unique from the state-of-the-art? Which are the deficiencies of the state-of-the-art that your invention improves?**

**c) What are the potential disadvantages of your invention compared to the state-of-the-art?**

**d) What alternatives to your invention do exist in the same field (to solve the same problem)?**

**3) a) Who funded the research which led to the invention? Please list all sources of funding and provide Unitectra with the respective agreements / documents.**

**b) Do you have any active or past agreements/contracts with industrial, academic, governmental or any other partners in this field under which certain obligations to such third parties could exist?**

**4) Has any proprietary material (e.g. cell line, antibody, plasmid, computer software, or chemical compound) or confidential information obtained from outside your laboratory been used to develop this invention? Was this under a (material) transfer agreement, license agreement or non-disclosure agreement? If yes, pls. specify and attach a copy.**

**D) Commercial Potential**

**1) What is the commercial use of your invention?   
What is/will be the marketable product? Who will be the customers?**

**2) What is the market need that your product fulfils? Why should somebody buy the product?**

**3) What is the estimated market size?**

**4) How long will it take to develop a marketable product and what are the estimated development costs? Which regulatory hurdles do you expect?**

**5) Which competitive products exist already?**

**6) a) How do you want to commercialize your invention?**

**b) Which potential licensees and/or venture builders do you want to commercialize your invention with? Name companies and contact persons that are active in the field of your invention.**

**7) Are you planning to found a spin-off company to commercialize your invention?**

YES  NO

**Comment:**

**If YES:**

**7a) Are you already in contact with the Innovation Office of the University of Basel?**

**7b) Do you already have a founding team?** YES  NO

**Comment:**

**7b) Please provide a short paragraph about your planned business model. What are the next steps for your business after filing of a patent application? (Max 100 Words)**

**7c) What kind of support do you need from the Innovation Office? (Tick as many boxes as applicable)**

Co-founders / Startup Teams  Coaching/Mentoring  Financial Planning  Startup Funding

Market Analysis  Business Development  Business Strategy  Office Space

Address for Incorporated Company

**8) What is or might be the greatest obstacle to the commercial adoption of your invention?**

**E) Comments**

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**F) Declaration**

**The active support and full collaboration of the inventors for the patenting process, and also for promoting the technology in order to license it to industrial partners is essential. By signing this form, I hereby declare that**

* I will provide all information and assistance deemed necessary and will sign with due diligence all the required administrative documents, to help the University of Basel to secure patent protection for my invention, should the University of Basel decide to file a patent application.
* I am inventor of the above-mentioned invention and that I am not aware of any additional inventors to this invention other than as set forth above.
* I made the invention during my employment at the University of Basel and/or an Associated Institution and therefore it is owned by the University of Basel and/or Associated Institution (as per respective laws, by-laws and interinstitutional contracts).
* I know that, as an inventor, I have the right to a share of net income from licensing the invention pursuant to the distribution scheme of the University of Basel applicable at the date of such distribution.
* I declare that any address changes will be communicated promptly to:  
  Unitectra, Technology Transfer University of Basel  
  current address: Steinengraben 22, CH-4051 Basel
* If I should not fulfil my duties as set forth above, such non-fulfilment shall be considered as my will to refrain from my right to participate in license income. My respective share shall become part of the share falling to the University of Basel and/or the Associated Institution.
* As required, I hereby authorize any practitioner to insert here in parentheses (Appl. No.\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_) the application number of any U.S. Patent Application that relates to the above-mentioned invention.

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| **Signatures** |
| Date:  Inventor Name: Signature:  Tick box if you have (partly) contributed to the invention while **not** being an employee at University of Basel or an Associated Institution. |
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